

# Deploying Hash Tables on Die-Stacked High Bandwidth Memory

Xuntao Cheng\*  
xuntao.cxt@alibaba-inc.com  
Alibaba Group

Bingsheng He  
hebs@comp.nus.edu.sg  
National University of Singapore

Eric Lo  
ericlo@cse.cuhk.edu.hk  
The Chinese University of Hong Kong

Wei Wang  
wangwei@comp.nus.edu.sg  
National University of Singapore

Shengliang Lu  
lusl@nus.edu.sg  
National University of Singapore

Xinyu Chen  
xinyuc@comp.nus.edu.sg  
National University of Singapore

## Abstract

Die-stacked High Bandwidth Memory (HBM) is an emerging memory architecture that achieves much higher memory bandwidth with similar or lower memory access latency and smaller capacity, compared with main memories. Memory-intensive database algorithms may potentially benefit from these new features. Due to the small capacity of such die-stacked HBM, a hybrid memory architecture comprising both main memories and HBMs is promising for main-memory databases. As a starting point, we study a key data structure, hash tables, in such a hybrid memory architecture. In a large hash table distributed among multiple NUMA (non-uniform memory accesses) nodes and accessed by multiple CPU sockets, the data placement and memory access scheduling for workload balance are challenging due to the random memory accesses involved that are difficult to predict. In this work, we propose a deployment algorithm that first estimates the memory access cost and then places data in a way that exploits the hybrid memory architecture in a balanced manner. Evaluation results show that the proposed deployment is able to achieve up to three times performance improvement over the state-of-the-art NUMA-aware scheduling algorithms for hash joins in relational databases on present and simulated future hybrid memory architectures.

\*Work done at Nanyang Technological University, Singapore.

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than the author(s) must be honored. Abstracting with credit is permitted. To copy otherwise, to republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from [permissions@acm.org](mailto:permissions@acm.org).  
*CIKM '19, November 3–7, 2019, Beijing, China*  
© 2019 Copyright held by the owner/author(s). Publication rights licensed to ACM.

ACM ISBN 978-1-4503-6976-3/19/11...\$15.00  
<https://doi.org/10.1145/3357384.3358015>

## ACM Reference Format:

Xuntao Cheng, Bingsheng He, Eric Lo, Wei Wang, Shengliang Lu, and Xinyu Chen. 2019. Deploying Hash Tables on Die-Stacked High Bandwidth Memory. In *The 28th ACM International Conference on Information and Knowledge Management (CIKM '19)*, November 3–7, 2019, Beijing, China. ACM, New York, NY, USA, 10 pages. <https://doi.org/10.1145/3357384.3358015>

## 1 Introduction

The die-stacked HBM is an emerging memory architecture that integrates multiple DRAM layers with processing units on the same die, achieving a much higher bandwidth than that of the main memory. For example, the 2nd and 3rd generation of HBM can achieve 256 GB/s and 512 GB/s peak memory bandwidth, respectively [11, 12]. This level of high memory bandwidth is beneficial for improving the performance of memory-intensive database algorithms such as building and probing hash tables. However, the memory capacity of a HBM is limited by the die area, which are much smaller than that of the main memory [20]. On the other hand, HBMs have smaller or similar memory access latencies compared with the main memory [6, 25]. Thus, a hybrid memory architecture comprising both main memories and HBMs is promising for large databases. To exploit both types of memories in such architectures, we optimize the data placement and memory access scheduling in this paper.

We could benefit more from the added HBMs in the hybrid memory architecture if we are able to schedule more sequential memory accesses to them, because random memory accesses on HBMs can be almost as expensive as those on main memories, despite HBMs' high peak memory bandwidth. On the other hand, with HBMs exposed as NUMA nodes together with main memories, unevenly placed random memory accesses among this increased number of NUMA nodes may cause workload imbalances and increase the total memory access cost significantly. To resolve these issues, we need to break the commonly accepted assumption that there is only one type of DRAM in the main memory and factor in such

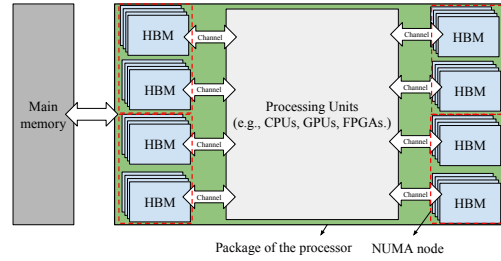
memory differences into system designs. Existing studies such as the round-robin scheduling policy used in the state-of-the-art NUMA-aware optimization [27] for hash tables is not sufficient due to its unawareness of such differences.

Database performance on such hybrid memory architecture is still an open area. In this paper, we start with a study on hash tables, which are among the most performance-critical data structures in main-memory databases [8, 28]. Many hardware-aware optimizations have been applied to reduce the memory access costs in building and probing hash tables, such as prefetching, various conflict resolving techniques, vectorizations, and thread-level parallelism [2, 6, 23, 27]. Despite of many previous studies on hash joins, there is little work on exploiting the hybrid memory architecture with HBMs.

In this paper, we propose a new deployment algorithm for hash tables aiming at reducing the memory access cost of building and probing the hash table by exploiting both the HBMs and the main memory. To facilitate such deployment, we design the hash table in a way that it can be partitioned into multiple subsets and efficiently distributed to multiple NUMA nodes with different memory types. We formulate the deployment of the hash table as a partition problem [15], where each partition has its estimated memory access cost and should be placed to NUMA nodes properly for workload balancing. To estimate the memory access costs, we convert the cost estimation problem to a classic problem of cost estimation of hash joins in query optimizations. We extend the state-of-the-art sampling-based method for such estimation [5], which was originally proposed for join size estimations. With proper estimations, we further introduce hardware-aware heuristics to solve the partition problem on the hybrid memory architecture. To cope with data misplacement at runtime due to inaccurate estimations, we design an online migration and replication method to adjust the placement on the fly in order to further reduce workload imbalance.

HBMs have been integrated with CPUs on processors like the Intel Xeon Phi processor of the Knights Landing architecture (KNL). Still, it is a hot research topic on how to integrate HBMs into the future processors [20]. In this study, we make our exploration along this direction using two complementary test beds for our evaluations: the real system implementation on Intel KNL processor which has HBMs on-chip, and simulations to study the impact of future HBMs. We adopt a state-of-the-art memory simulator named Ramulator [14] to simulate HBMs with different specifications on which we can evaluate our proposed algorithm and study the impact of potential features of the HBMs.

Experimental results on KNL show that the proposed deployment algorithm can improve the performance of hash



**Figure 1: An emerging memory architecture with die-stacked HBMs.**

joins by up to three times compared with the fastest baseline [2, 6, 23]. Further simulation results show that hash join algorithms can benefit from future HBMs significantly. And, our algorithm adapts to changes in the NUMA topology and different latencies of the HBMs.

Overall, a hybrid memory architecture of HBM and main memory makes an interesting case for hash tables. Our paper has explored different HBM integration, as well as different memory architectures (including memory latencies, NUMA and memory types). With those various features, we demonstrate that cost-based adaption is essential for the performance of this hybrid memory architecture, which can shed light on the design of in-memory data structures on such a hybrid memory architecture.

## 2 Background and Related Work

In this section, we will introduce the background on die-stacked HBM and the related work to this study. More related work can be found in our technical report [7].

### 2.1 Die-stacked High Bandwidth Memory

Recently, there have been many advancements in the area of die-stacked HBMs. The idea is to stack one or multiple DRAM layers and then place them either by the side (i.e., 2.5D interposing) of the processor or on top of the processor (i.e., 3D stacking). This integration allows processor cores to access memories faster, compared with accessing the off-package main memory.

Figure 1 illustrates a hybrid memory architecture with both die-stacked HBMs and the main memory. Multiple DRAM layers are stacked together to form HBM modules placed next to CPUs in the same package, and can be exposed as a NUMA node with no CPU cores. The number of DRAM layers within each HBM stack is constrained by physical limitations such as heat and area constraints [20], which in turn constraints the total memory bandwidth and capacity. The second generation of the HBM (HBM2) specifies up to eight DRAM layers per stack. Both Intel and AMD have similar designs for processors with HBMs [10, 18, 20]. The bus width of the HBM on Intel KNL is 128-byte, which

is 16 times as wide as the main memory. Thus, it is helpful to design the data layout and access patterns for the HBM to avoid memory accesses of small sizes to exploit the wide memory bus. In the meantime, balancing memory accesses to such increased number of NUMA nodes is important to reduce the makespan of all memory accesses. The capacity of die-stacked memory is usually in the range of several GBs, which is much smaller than main memory. A hybrid memory architecture comprising both types of memories is promising to take advantage of the best of both worlds.

## 2.2 Related Work on Hash Tables

Hash tables are crucial as complex data structures in main memory databases, especially in hash joins [26]. Many software optimizations proposed for hash joins focus on optimizing memory accesses to hash tables [2, 3, 13, 23, 27]. Polychroniou et al. optimized a wide range of hash table designs such as linear probing, double hashing, and cuckoo hashing using SIMD instruction sets [23]. Barber et al. proposed the Concise Hash Table that reduces the memory footprint of hash tables significantly [4]. Barber et al. [3] develops a compact hash table design. These optimizations can be helpful in improving the performance of hash tables on the hybrid memory system of die-stacked HBM. Makreshanski et al. [19] studied the performance of shared hash joins from many queries (named MQJoin) on KNL. Their study demonstrated very promising results when the join fits into HBM, which is consistent to our experiments. In contrast, they have not studied the data management between DRAM and HBM, which is the focus of this paper. This paper focuses on deploying hash tables in a single machine with a hybrid memory system. Paul et al. [21] has demonstrated the benefits and optimizations of HBM of the GPU on hash joins.

## 3 Overview

In this section, we first present the problem statement and then give an overview of our solution.

### 3.1 Problem Statement

We consider the performance of both building and probing hash tables, given input relations. Through data placements and scheduling memory accesses in the hybrid memory architecture, we improve the performance of build and probe by minimizing the memory access costs involved. This problem has two pillars. One is to utilize all HBM stacks and the main memory (exposed as NUMA nodes) to maximize the benefits of both types of memories. The other is to reduce workload imbalance among NUMA nodes.

There are several issues making this problem challenging to solve. Firstly, both build and probe of hash tables involve

random memory accesses that are difficult to predict. Without accurate estimations of such memory accesses, especially their memory accesses costs, it is difficult to balance the distribution of memory accesses to avoid workload imbalance among different NUMA nodes, which in turn leads to performance degradation.

Secondly, existing NUMA-aware optimizations assume memories of the same socket (including multiple cores of a single CPU) has the same latency and bandwidth [9, 24]. Thus, they tend to interleave data across multiple NUMA nodes, expecting that the workload is balanced as long as each NUMA node has an equal share of all types of memory accesses. However, with die-stacked HBMs, this assumption is no longer true, so that we cannot rely on balancing data sizes for workload balance.

## 3.2 Overview of The Solution

Our solution comprises two major components on deploying the hash table in the hybrid memory architecture. Firstly, we **estimate the memory access cost** for either build or probe of the hash table. To address the random memory access estimation, we formulate the cost estimation to a classic problem of estimating the cost of hash joins. We adopt the state-of-the-art sampling-based estimation method from Chen et al. [5], and extend it to estimate the memory access cost.

Secondly, we take advantage of the estimated costs to **deploy the hash table** across all the NUMA nodes for the build. For the probe, we introduce **online migration and replication** to adapt the placement of the hash table to the runtime. These online techniques are necessary if inaccurate estimations have led to an unbalanced distribution of the workload that undermines the performance significantly.

## 4 Design of Hash Table

### 4.1 Design Requirements

With the different characteristics of the HBMs and the main memory in mind, we have the following design requirements for the efficiency of hash tables.

- **Avoiding memory fragmentation.** Because the HBMs have much smaller memory capacity than the main memory, we should avoid wasting available memory spaces of the HBMs. Due to the potential memory allocations in the build operation of the hash table, memory fragmentation is a major problem to be avoided. On the other hand, excessive memory fragmentation also leads to small and random memory accesses, which may fail to exploit the high memory bandwidth of HBM.

- **Utilizing the high sequential memory bandwidth.** The design of the hash table needs to be able to utilize the most important feature of the HBMs, its high sequential memory bandwidth.
- **NUMA-aware.** Because the HBMs introduce multiple NUMA nodes, the hash table needs to be scaled out and allocated to multiple NUMA nodes.

We evaluate existing hash table designs (such as [4, 17, 29]) to see whether they can satisfy these requirements. Table 1 summarizes five common hash table designs, including the state-of-the-art ones optimized for memory usages [4]. We have the following observations. Overall, we find that the previous studies fail to satisfy at least one design requirements for an efficient hash table on the hybrid memory architecture.

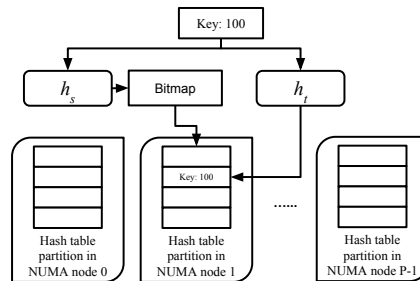
- Memory fragmentation is a major problem of separate hashing and its variants because all buckets are allocated in separate locations as nodes in a linked list [2].
- Although coalesced hashing can improve the memory efficiency, records of the same hash bucket may be scattered in the memory, making it not an array of buckets and its usage of the memory bandwidth poor.
- Cuckoo hashing can help to reduce the impacts of memory fragmentation, but it uses multiple hash functions, which scatter records of the same hash bucket in the array.
- Concise hash table is the state-of-the-art memory-efficient design that satisfies all requirements, except that it is not designed to be aware of NUMA [4].

**Table 1: Summary of hash table designs**

Hash table design	Avoid memory fragmentation	Bandwidth utilization	NUMA-aware
Separate chaining	✗	✗	✓
Coalesced hashing [29]	✓	✗	✓
Open addressing	✓	✓	✗
Cuckoo hashing [17]	✓	✓	✗
Concise hash table [4]	✓	✓	✗
Our design	✓	✓	✓

## 4.2 Our proposed data structure

To overcome limitations in existing designs, we propose our own design in this study. Inspired by the previous study on compact hash table [3], we enhance the hash table design with linear probing (a form of open addressing) [22] to support the NUMA-aware placement. Our design can satisfy all the design requirements. In linear probing, the high bandwidth of the HBM can be utilized while probing a large hash table. Linear probing can be implemented in arrays and resolve collisions by placing the new key into the closest following empty cell. Thus, it minimizes the memory fragmentation.



**Figure 2: The proposed hash table design.  $h_s$  and  $h_t$  are two hash functions used to hash a record to its corresponding partition of the hash table and slots in the hash table, respectively.**

In a NUMA architecture consisting of  $P$  nodes, we choose to build  $P$  separate hash tables, with one table assigned to one node. We introduce a hash function (denoted as  $h_s$  hereafter) with a fan out of  $P$  to hash keys into its corresponding hash table in the NUMA architecture.

Figure 2 shows the overview of the design. We imagine a global hash table using linear probing where a hash function  $h_s$  is applied to hash keys to hash buckets in a partition. We split the global hash table into  $P$  partitions logically, with each partition assigned to a NUMA node. We use a bitmap to record which NUMA node the record is hashed to. Each partition has  $\lceil \log_2 P \rceil$  bits in this bitmap. We use another function  $h_t$  to determine the offset of a record in a partition on its corresponding NUMA node. We apply a sampling-based method (Section 5) to estimate the cardinality of each hash table, allowing us to develop  $h_t$  in a way that reduces hash collisions during the build. In case we want to migrate a bucket to a different NUMA node, we can update its associated value in the bitmap. In Figure 2, we show an example where a record with a key value of '100' is first hashed to the partition of the hash table in NUMA node 1, and then hashed to its matches within that partition.

## 5 Cost Estimation

In this section, we first model memory access costs for build and probe. We then extend a sampling-based method for join size estimation to our formulated memory access cost estimation, and implement it in a lightweight manner.

### 5.1 Modeling memory access costs

We model the memory access cost at the granularity of hash buckets. In our model, records with the same hash value associate with the same hash bucket. The memory access cost of the build is modeled in Equation 1, where  $b_x$  refers to a single hash bucket set with a size of  $|b_x|$  records, and  $BW$  refers to the memory bandwidth of the underlying NUMA node. This models the process of reading records from the memory and

writing them to their corresponding hash bucket set in the memory.

$$T_{build}(b_x) = \frac{2|b_x|}{BW} \quad (1)$$

The memory access cost of a probe is modeled in Equation 2, where  $r_x$ , and  $w_x$  refer to records that are hashed to  $b_x$  from the input relation  $R$  and matched results found after comparing  $r_x$  with  $b_x$ , respectively. During the probe, all records from the probe relation  $R$  are hashed to their corresponding hash buckets.  $r_x$  is a set of such records hashed to the same bucket  $b_x$  in the hash table. For each record in  $r_x$ , it is compared with records in  $b_x$  for matches. Thus, the total number of comparisons for  $b_x$  and  $r_x$  is  $|r_x| \times |b_x|$ . For each comparison, there are two read operations, and at most one write operations.

$$T_{probe}(r_x) = \frac{2|r_x||b_x| + |w_x|}{BW} \quad (2)$$

## 5.2 A sampling-based optimization

It is costly to scan the entire input relations for the calculation of Equation 1 and 2. In the following, we formulate the estimation problem of the probe and adopt the state-of-the-art sampling-based estimation method to address it. This solution is extended to the build later.

The estimation problem in our case is similar to join size estimation, which counts a match between two records if their keys have the same value. The estimated join size is  $\sum |R_v||S_v|$ , where  $R_v$  and  $S_v$  refer to keys with the key value  $v$  from the relation  $R$  and  $S$ . This can be converted to our estimation modeled in Equation 2, because  $|R_v||S_v|$  and  $|r_x||b_x|$  have the same structure, and their values are close to each other if the key  $v$  is hashed to the bucket  $x$  with few hashing conflicts.

Join size estimation is a classical problem in databases. We adopt the state-of-the-art sampling-based join estimation method from Chen et al. [5] to estimate the cost in our case. This method first samples records from input relations of a join and then calculate the number of matches using their join size estimation model. In our case, the sampling process for relations  $S$  and  $R$  are slightly different. For both relations, each thread processes an equal-sized chunk of records and sample within its own local chunk. For  $S$ , because its hash table is the target of memory accesses during probe, the sampled records of each thread are merged together. For  $R$ , there is no need to merge sampled records from different threads because each thread processes its own chunk in the probe phase. After we apply the sampling technique, keys are sampled into a set of buckets for both relations. We then replace the estimation model [5] with Equation 1 and 2 for build and probe, respectively. This method also estimates the cardinality of each hash table partition.

## 6 Hash Table Placement

With memory access costs estimated, we determine the placement of hash buckets across all NUMA nodes. Given  $N$  hash buckets and  $P$  NUMA nodes, there are  $P^N$  different placement decisions for these buckets in total.  $P$  is determined by the underlying hardware.  $N$  is configurable by tuning the hash function. If the hash function has larger fan-outs (i.e., larger  $N$ ), we have more opportunities to schedule hash buckets for a balanced workload distribution. However, it also leads to a big solution space. We formulate this placement problem as an NP-hard partition problem and propose a heuristic-based solution as follows. The problem is to partition all the hash buckets to  $P$  subsets ( $P$  is the number of NUMA nodes in the hybrid memory architecture).

The hash buckets of subset  $i$  is stored in the NUMA node  $i$ . For a set of buckets,  $B = \{b_0, b_1, b_2, \dots, b_{N-1}\}$ . Partition it to  $P$  subsets,  $B_0, B_1, B_2, \dots, B_{P-1}$ , so that  $\sum_j^{|B_0|} w_{0,j} = \sum_j^{|B_1|} w_{1,j} = \dots = \sum_j^{|B_{P-1}|} w_{P-1,j}$ , where  $w_{i,j}$  is the estimated memory access cost of bucket  $b_j$  in the subset  $B_i$ .

For each hash bucket to be placed, we first apply the HBM-aware heuristic to determine whether it is suitable for the HBM or the main memory. Next, we further determine which NUMA node the hash bucket should be placed to within the scope of the determined memory type. Because errors in the memory access cost estimation may lead to sub-optimal hash table placement that hurts the performance, we also propose online migration and replication of buckets to further improve the placement.

### 6.1 HBM-aware Heuristic

Because of the differences between the main memory and the HBM, we first use a hardware-aware heuristic to decide whether a bucket should be placed to the HBM or the main memory while building a hash table. There are two major differences that influence our decision here. Firstly, HBMs have wider memory buses and higher bandwidth for sequential memory accesses. Thus, accessing a dense array of buckets sequentially (a region in the hash table while most slots are occupied) can utilize more of the high bandwidth of the HBM. Secondly, the HBM is much smaller than the main memory capacity, and both of them have similar memory access latency.

Thus, we develop an HBM-aware heuristic. We sort all buckets ( $b_x$ ) by their estimated cost ( $w_x$ ) and then assign a bucket to the HBM if the accumulated cost of buckets in the HBM is within the  $\alpha$  (workload dividing ratio) percentage of the total cost, in a descending order of estimated costs. The buckets assigned to HBM should not exceed the size of HBM. The rest buckets are assigned to the main memory. Thus, our cost model considers the size limitation of HBM

as well as the difference in performance between HBM and main memory.

We formulate the value of  $\alpha$  as the minima of the cost function of the build and probe, with which the total cost is minimized. Assuming that the relation  $S$  is initially in the main memory, the costs of build and probe are shown in Equation 3 and 4, respectively.  $|B|$  is the total size of hash buckets to be visited.  $BW_D^{build}$  ( $BW_D^{probe}$ ) and  $BW_H^{build}$  ( $BW_H^{probe}$ ) are the measured memory bandwidth while building (probing) a hash table on the main memory alone, and the HBM alone, respectively.  $|Out|$  is the size of all results. The  $\alpha$  value shall be the critical point of minimizing the value of the corresponding cost function. Equation 3 calculates the larger one of the two costs on the main memory and the HBM, since they can work simultaneously. Equation 4 also includes the cost of outputting the results. For a simple hash join, its cost function  $f_{join}$  equals to  $f_{build} + f_{probe}$ .

$$f_{build} = \max\left\{\frac{1-\alpha}{BW_D^{build}}, \frac{\alpha}{BW_H^{build}}\right\}|S| \quad (3)$$

$$f_{probe} = \max\left\{\frac{1-\alpha}{BW_D^{probe}}, \frac{\alpha}{BW_H^{probe}}\right\}(|R| + |B| + |Out|) \quad (4)$$

## 6.2 NUMA-aware Heuristic

After we decide the suitable type of memory for buckets using the HBM-aware heuristics, we consider several heuristic algorithms to further decide the destination NUMA node for each hash bucket including dynamic programming and many approximation algorithms [16]. We find that the greedy algorithm delivers the best result in a similar time budget compared with other algorithms. Thus, we choose it as the NUMA-aware heuristic algorithm in this solution, as introduced in Algorithm 1. It first sorts all buckets by their associated workload, and then assign buckets to the subset with the lowest total workload in the descending order. The total workload of node  $j$  is maintained in  $Sum_j$ . This heuristic tends to separate large buckets and place them across different nodes at the beginning. Then, we allocate the hash buckets to NUMA nodes so that NUMA nodes have a balanced distribution. Particularly, we constantly add smaller buckets to the node with the lowest sum until it is full. This algorithm can be easily applied on a NUMA architecture with more than two nodes.

## 6.3 Online Replication and Migration

Because of potential inaccurate memory cost estimations, there are possibilities that a bucket that should be placed to the HBM are mistakenly placed to the main memory. Such placements may lead to an imbalanced distribution of memory access costs. After building the hash table, we know the exact size of each hash bucket. We further propose to

**input** :  $P$  NUMA nodes.  $U^S$ , the set containing all buckets from relation  $S$ .  $W^S$ , the set containing the estimated memory access cost of each bucket from relation  $S$ .

initialize  $Sum_j$  of node  $j$  to 0.

sort buckets in  $U^S$  by their costs in  $W^S$ .

**foreach** bucket  $i$  in  $U^S$  in the descending order of their estimated cost **do**

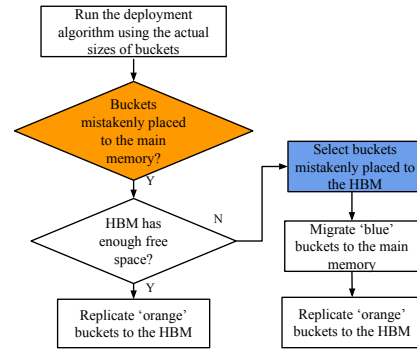
    choose node  $x$  with the smallest  $Sum_x$ .

$Sum_x = Sum_x + w_i$ .

    place bucket  $i$  to node  $x$ .

**end**

**Algorithm 1:** NUMA-aware placement algorithm



**Figure 3: The online process of replications and migrations**

replicate or migrate hash buckets between the main memory and the HBM in the runtime in order to fully utilize their bandwidth.

Figure 3 illustrates the decision-making process for online migrations and replications. We start with running the deployment algorithm again using the actual sizes of all buckets. Then, we check whether there are buckets that are mistakenly placed to the main memory, which are labeled as *orange* buckets in the figure. For such buckets, if there is enough free space in the HBM, we decide to replicate them to the HBM. In case the HBM does not have enough space, we select those buckets that are mistakenly placed to the HBM first, which must exist because the deployment algorithm determines that there is one mistakenly placed bucket in the HBM for one mistakenly placed bucket in the main memory. Such buckets are labeled as the *blue* ones in the figure. We then migrate these blue buckets to the main memory and then replicate orange buckets to the HBM.

For all migrations from the HBM to the main memory, we execute them before the probe in a hash join. For replication, when a bucket marked to be replicated is probed for the first time, we place it to the hash table in the NUMA node of the

**Table 2: List of hash join variants**

Variant	HBM mode	Sources
<b>Simple hash joins</b>		
SHJ (DDR)	DDR only	[2, 6, 23]
SHJ (Cache)	HBM configured as a cache	[2, 6, 23]
SHJ (Int.)	Data interleaved across NUMA nodes	[2, 6, 23]
<b>HSHJ</b>	All nodes managed by the proposed optimizations	This paper
<b>Partitioned hash joins</b>		
PHJ (DDR)	DDR only	[2, 6, 23]
PHJ (Cache)	HBM configured as a cache	[2, 6, 23]
PHJ (Int.)	Data interleaved across NUMA nodes	[2, 6, 23]
HPHJ	All nodes managed by the proposed optimization	This paper

HBM with the smallest sum of the workload in the greedy fashion. We then update the bitmap (as shown in Figure 2) accordingly and insert its records to the hash table using the same hash function  $h_t$ , so that further probes can find these buckets in the HBM.

## 7 Evaluation

In this section, we evaluate the details of our proposal and its overall performance with different workloads. Due to space limitations, please refer to our technical report for more evaluations including all the simulation results as well as impact of individual techniques [7].

### 7.1 Experimental Setup

**Testbeds.** Die-stacked memory has been implemented on some emerging processors such as Intel KNL, and will be more common in future processors, such as AMD Ryzen APU. Still, it is a hot research topic on how to integrate die-stacked memory into the future processors [20]. Therefore, in this study, we use two complementary test beds for our evaluations: the real system implementation on Intel KNL processor which has die-stacked memory on-chip, and simulations to study the impact of future die-stacked memory enabled memory systems. Please refer to our technical report for results on simulations.

The KNL CPU is Xeon Phi 7210, with 64 cores running at 1.30 GHz (256 threads) and 16GB HBM. The system has 96GB DRAM. On KNL, there are eight NUMA nodes, where nodes 0-3 and 4-7 represent the main memory and the HBM, respectively. All the cores are evenly distributed in nodes 0-3, while nodes 4-7 contain the HBMs only.

**Implementation details.** We apply the deployment algorithm to the state-of-the-art simple hash join (SHJ) and partitioned hash joins (PHJ), and compare them with other state-of-the-art hash join implementations. All hash join variants considered in this paper are listed in Table 2. We have used the latest version of the code available in the previous studies. For all implementations, existing software optimizations such as prefetching, software-managed buffers, multi-pass partitioning have been applied and tuned for

their best performance, following the previous studies [1, 6]. All algorithms are vectorized using AVX-512 SIMD instruction set [6, 23]. For example, SIMD arithmetic instructions calculate the hash values of 16 keys altogether, and SIMD gather/scatter instructions are applied to resolve hashing conflicts [23].

For both SHJ and PHJ, we execute them using three different HBM modes: (1) the DDR only mode in which only the main memory is used, (2) the cache mode in which the HBMs are configured as an LLC, which is transparent to the software, and (3) the flat mode where the HBMs are exposed as NUMA nodes as part of the memory space and managed by the GNU NUMA utility *numactl*. This utility is able to interleave data across all NUMA nodes attempting to balance the workload. Finally, we apply our proposed optimizations on SHJ and PHJ, resulting in two variants: HSHJ and HPHJ. We take a focus on evaluating simple hash joins, especially on the simulated platform, which involve remote random memory accesses.

To reduce the sampling overhead, we vectorize the sampling algorithm using AVX-512 SIMD intrinsics and parallelize in multiple threads. The parallelization of the sampling algorithm is straightforward. Each thread takes a chunk from input relations, executes the sampling algorithm on this chunk, and finally estimates the memory access costs.

The deployment algorithm consists of two heuristics. The HBM-aware heuristic needs to tune the parameter  $\alpha$  to minimize the cost modeled by relevant cost functions. The NUMA-aware heuristic requires sorting all buckets and a single pass over sorted ones. We adopt the state-of-the-art SIMD sorting implementation here to realize the sort operation [23].

In partitioned hash joins, hash tables are built for each cache-resident partition, thus they do not need to be placed across NUMA nodes. However, we can apply the same deployment algorithm to partitions instead of hash buckets. This is meaningful because partitions are also subject to skewness in input relations. In this paper, we estimate the memory access cost of each partition and place them according to the deployment algorithm in the same way used for hash buckets.

**Workloads.** In all experiments, input relations reside in the main memory. We perform equi-join queries on relations  $R$  and  $S$  (in the form of “SELECT R.key, R.payload, S.payload FROM R, S WHERE R.key == S.key”), which is the same with previous studies [13, 23, 27]. Matched results are materialized in the main memory. Both keys and payloads are random 32-bit integers. We use four types of workload as shown in Table 3 according to the needs of each evaluation, on which we vary the sizes of relations and the distribution of keys. The small-sized, medium-sized and large-sized workload are smaller than, equal to, and larger than the HBM capacity, respectively. We set the workload size in this way to evaluate

**Table 3: Workload characteristics**

	Size (10 <sup>6</sup> records)	Key Distribution
Small	R  =  S  = 128	Uniform
Medium	R  =  S  = 1024	Uniform
Large	R  =  S  = 1536	Uniform
Skewed	R  =  S  = 128	Zipf distribution in relation R

how hash join variants perform with different memory space constraints from the perspective of the HBM capacity. For the skewed workload, we vary the zipf factor to evaluate how hash join variants cope with skewness and how our proposed optimizations improve workload balance given skewed keys.

**Result Outline.** In this paper, we mainly present the results on real platforms, and leave the results on simulations to the supplementary files. Overall, the simulation results show that our design can significantly improve hash join performance on different hybrid memory architectures.

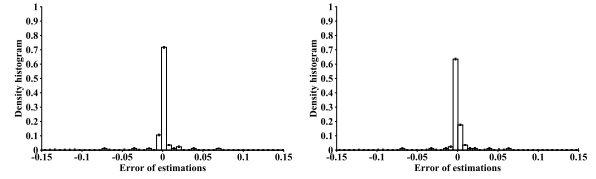
### 7.2 Evaluation of Cost Estimation

We now evaluate the accuracy of the cost estimation. Because the cost estimation provides inputs for other optimizations, its accuracy has a direct impact on how well the hash table deployment can perform and how often we need online migration and replication for hash entries. Overall, our cost estimation is sufficiently accurate and effective in guiding the hash table deployment. We use HSHJ as an example in this evaluation.

Figure 4 shows the histograms of estimation errors for two extreme cases where keys are either uniformly distributed (zipf factor=0) or highly skewed (zipf factor=2). Here, we define estimation error  $e_x$  for a sampled bucket as in Equation 5, where  $N$  is the total number of sampled buckets,  $w_x$  and  $w'_x$  refer to the estimated and the actual memory access costs of a sampled bucket  $x$  ( $x=0, 1, \dots, N-1$ ), respectively. We choose to use the relative difference because optimizing the workload balance is sufficient for our problem.

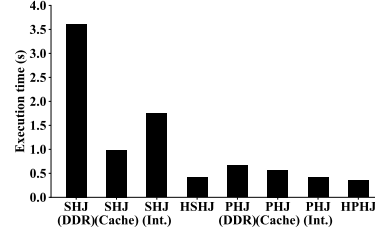
$$e_x = \frac{w_x}{\sum_x^N w_x} - \frac{w'_x}{\sum_x^N w'_x} \tag{5}$$

In Figure 4, more than 70% and 60% estimations have almost zero in these two cases, respectively. Most other estimations have very low errors. Similar results have been achieved when varying the zipf factor, which we omit here. We find that the adopted two-level sampling-based estimation method is largely accurate while estimating the costs in our case for the purpose of balancing the memory accesses.



(a) zipf factor=0 (b) zipf factor=2

**Figure 4: Histogram of estimation errors in HSHJ while processing the skewed workload**



**Figure 5: Execution time of hash join variants processing the small-size workload.**

### 7.3 Overall Comparison

We first present an overall performance comparison between the state-of-the-art hash join implementations and our optimized hash joins in Figure 5.

We make the following remarks on the results.

Firstly, SHJ and PHJ behave differently in the flat mode using the NUMA utility. SHJ and PHJ become about 80% slower, and 16% faster than the cache mode, respectively. This shows that the partitioning phase helps to improve the performance by dividing the relations into equal-size partitions, which balances the workload across so many NUMA nodes. However, the NUMA utility is not able to distribute the workload of accessing a global hash table of the SHJ across NUMA nodes, resulting in a poor performance.

Secondly, regarding of our proposed optimizations, HPHJ and HSHJ are about 20% and 3 times faster than their fastest state-of-the-art algorithms, respectively. Although the proposed optimizations reduce the execution time for both algorithms, it is more impactful on non-partitioned simple hash joins. This is because our proposed optimizations help to balance the workload like the partitioning phase in the partitioned hash join, and all threads access local nodes after the partitioning phase.

**Bandwidth utilization.** We compare the average bandwidth of SHJ and HSHJ per NUMA node in Figure 6. Please note that NUMA nodes 0 to 3 and nodes 4 to 7 consist of the main memory and the HBM, respectively. SHJ only utilizes about 10 GB/s and 11 GB/s bandwidth on the main memory and the HBM, respectively. HSHJ increases the bandwidth per node to about 15 GB/s and 27 GB/s for both memories,



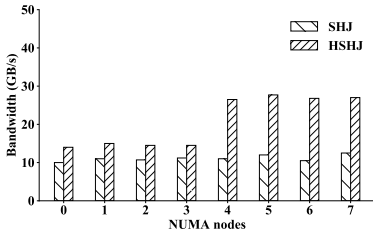


Figure 6: Average bandwidth utilization of HSHJ.

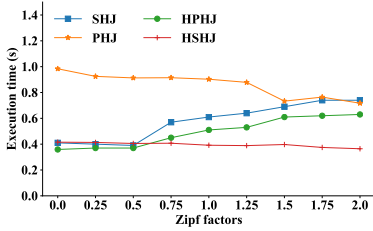


Figure 7: Execution time of hash join variants on skewed workloads

respectively. These results demonstrate that it is indeed helpful to place the data and threads carefully in the HBM and the many-core processor.

**Impact of skewness.** We show the impact of skewness on the overall performance in Figure 7. Skewness in input relations influences cost estimations and hash table placement. Here, we consider the fastest baselines from SHJ and PHJ as found out in Figure 5, and compare them with our optimized hash join variants. Keys in the input relation  $R$  follow the zipf distribution. We vary the zipf factor from 0 (no skewness) to 2 (high skewness).

As relations become more skewed, SHJ generally becomes faster and PHJ becomes slower. This is caused by the increasing data locality of skewed hot keys. Overall, HPHJ is the fastest when the zipf factor is smaller than 0.75. HSHJ outperforms HPHJ and becomes the best among all the four algorithms when the zipf factor is larger than 0.75. Also we have two more observations. First, comparing PHJ and HSHJ, HSHJ is more resilient to skewness and is about 40% faster than PHJ when the zipf factor is 2. Second, comparing SHJ and HSHJ, HSHJ is at least 2 times faster for all cases.

**Impact of workload sizes.** In Figure 8, we show the execution time of simple hash join variants processing the three classes of workload introduced in Table 3. We omit results from partitioned hash joins because our proposed algorithms are not impactful for them with uniformly distributed keys as explained above. HSHJ is 2.39, 3.60, and 4.60 times faster than the SHJ for the three classes of workload, respectively. This ascending trend of speedups shows that HSHJ scales better than SHJ when the size increases. Meanwhile, compared with PHJ, HSHJ performs 29% and 34% faster for medium-

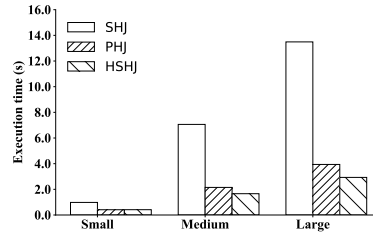


Figure 8: Execution time of different hash join variants processing the small-size, medium-size and large-size workload.

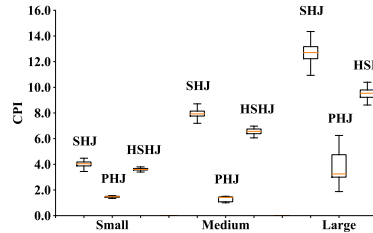


Figure 9: Distribution of CPI per core while executing different hash join variants.

and large-size workload, respectively. While the partitioning overhead increases with the input sizes, our optimized HSHJ can gradually outperform PHJ.

In Figure 9, we show the box plots of CPI (cycles per instruction) per core measured while executing hash join variants processing the three classes of workload, respectively. The box plot is able to tell whether the memory access costs are uniform across different cores. Compared with SHJ, HSHJ manages to reduce the CPI per core by 11%, 18%, and 25% in these three classes, respectively. Meanwhile, CPIs of all the cores in HSHJ are much closer to their average, demonstrating the impact of the proposed optimizations on improving workload balance.

For simple hash joins, CPIs generally rises with the workload size. It is not surprising that partitioned hash joins have lower CPIs than simple hash joins, due to sequential memory accesses in the partitioning phase and data locality in the build and probe phases. Different to simple hash joins, CPIs of partitioned hash joins only increases significantly when the workload size exceeds the HBM’s capacity. This is because the HBM is incapable to store enough partitions in order to get a share of the workload that is proportional to its high bandwidth.

## 8 Conclusions

The emerging die-stacked HBMs have introduced significant opportunities given their much higher memory bandwidth compared with the main memory. A hybrid memory architecture comprising both memory types is promising with

challenges on improving database performance. As a starting point, we study building and probing hash tables, which are particularly challenging to exploit because of expensive random memory accesses that are difficult to predict and different types of memories in a single system. Specifically, we propose a new deployment algorithm for hash tables on this hybrid memory architecture of die-stacked HBMs and apply it to hash joins. Our evaluations on both a real hardware platform and a simulated platform demonstrate the performance improvements by our approach.

## Acknowledgments

This work is supported by a MoE AcRF Tier 1 grant (T1251RES1824), Tier 2 grant (MOE2017-T2-1-122) and the National Research Foundation under its IDM Futures Funding Initiative in Singapore. Dr. Eric Lo's work is supported by Hong Kong General Research Fund (14200817, 15200715, 15204116), Hong Kong AoE/P-404/18, Innovation and Technology Fund ITS/310/18. We thank Intel for hardware accesses and donations.

## References

- [1] Cagri Balkesen, Gustavo Alonso, Jens Teubner, and M Tamer Özsu. 2013. Multi-core, main-memory joins: Sort vs. hash revisited. *Proceedings of the VLDB Endowment* 7, 1 (2013), 85–96.
- [2] Cagri Balkesen, Jens Teubner, Gustavo Alonso, and M Tamer Özsu. 2013. Main-memory hash joins on multi-core CPUs: Tuning to the underlying hardware. In *2013 IEEE 29th International Conference on Data Engineering (ICDE)*. IEEE, 362–373.
- [3] Ronald Barber, Guy Lohman, Ippokratis Pandis, Vijayshankar Raman, Richard Sidle, G Attaluri, Naresh Chainani, Sam Lightstone, and David Sharpe. 2014. Memory-efficient hash joins. *Proceedings of the VLDB Endowment* 8, 4 (2014), 353–364.
- [4] Ronald Barber, Guy Lohman, Ippokratis Pandis, Vijayshankar Raman, Richard Sidle, G Attaluri, Naresh Chainani, Sam Lightstone, and David Sharpe. 2014. Memory-efficient hash joins. *Proceedings of the VLDB Endowment* 8, 4 (2014), 353–364.
- [5] Yu Chen and Ke Yi. 2017. Two-Level Sampling for Join Size Estimation. In *Proceedings of the 2017 ACM International Conference on Management of Data*. ACM, 759–774.
- [6] Xuntao Cheng, Bingsheng He, Xiaoli Du, and Chiew Tong Lau. 2017. A study of main-memory hash joins on many-core processor: A case with intel knights landing architecture. In *Proceedings of the 2017 ACM on Conference on Information and Knowledge Management*. ACM, 657–666.
- [7] Xuntao Cheng, Bingsheng He, Eric Lo, Wei Wang, Shengliang Lu, and Chen Xinyu. [n.d.]. Deploying Hash Tables on Die-Stacked High-Bandwidth Memory. [https://github.com/Xtra-Computing/HashJoin\\_HMA/blob/master/CIKM\\_TR.pdf](https://github.com/Xtra-Computing/HashJoin_HMA/blob/master/CIKM_TR.pdf).
- [8] Biplob Debnath, Alireza Haghdoost, Asim Kadav, Mohammed G Khatib, and Cristian Ungureanu. 2016. Revisiting hash table design for phase change memory. *ACM SIGOPS Operating Systems Review* 49, 2 (2016), 18–26.
- [9] Jana Giceva, Gustavo Alonso, Timothy Roscoe, and Tim Harris. 2014. Deployment of query plans on multicores. *Proceedings of the VLDB Endowment* 8, 3 (2014), 233–244.
- [10] Mike P. (Intel). 2016. An Intro to MCDRAM (High Bandwidth Memory) on Knights Landing .
- [11] "JEDEC Solid State Technology Association". 2014. WIDE I/O SINGLE DATA RATE (WIDE I/O SDR), JESD229. <https://www.jedec.org/system/files/docs/JESD229.pdf>.
- [12] "JEDEC Solid State Technology Association". 2015. HIGH BANDWIDTH MEMORY (HBM) DRAM, JESD235A. <https://www.jedec.org/system/files/docs/JESD235A.pdf>.
- [13] Saurabh Jha, Bingsheng He, Mian Lu, Xuntao Cheng, and Huynh Phung Huynh. 2015. Improving main memory hash joins on intel xeon phi processors: An experimental approach. *Proceedings of the VLDB Endowment* 8, 6 (2015), 642–653.
- [14] Yoongu Kim, Weikun Yang, and Onur Mutlu. 2016. Ramulator: A fast and extensible DRAM simulator. *IEEE Computer architecture letters* 15, 1 (2016), 45–49.
- [15] Richard E. Korf. 1998. A complete anytime algorithm for number partitioning. *ARTIFICIAL INTELLIGENCE* 106 (1998), 181–203.
- [16] Richard E Korf. 2009. Multi-Way Number Partitioning.. In *IJCAI*. 538–543.
- [17] Reinhard Kutzelnigg. 2006. Bipartite random graphs and cuckoo hashing. In *Discrete Mathematics and Theoretical Computer Science*. Discrete Mathematics and Theoretical Computer Science, 403–406.
- [18] J. Macri. 2015. AMD's next generation GPU and high bandwidth memory architecture: FURY. In *2015 IEEE Hot Chips 27 Symposium (HCS)*. 1–26. <https://doi.org/10.1109/HOTCHIPS.2015.7477461>
- [19] Darko Makreshanski, Georgios Giannakis, Gustavo Alonso, and Donald Kossmann. 2018. Many-query join: efficient shared execution of relational joins on modern hardware. *The VLDB Journal* 27, 5 (2018), 669–692.
- [20] Mitesh R Meswani, Sergey Blagodurov, David Roberts, John Slice, Mike Ignatowski, and Gabriel H Loh. 2015. Heterogeneous memory architectures: A HW/SW approach for mixing die-stacked and off-package memories. In *2015 IEEE 21st International Symposium on High Performance Computer Architecture (HPCA)*. IEEE, 126–136.
- [21] J. Paul, B. He, S. Lu, and C. T. Lau. 2019. Revisiting Hash Join on Graphics Processors: A Decade Later. In *2019 IEEE 35th International Conference on Data Engineering Workshops (ICDEW)*. 294–299. <https://doi.org/10.1109/ICDEW.2019.00008>
- [22] W Wesley Peterson. 1957. Addressing for random-access storage. *IBM journal of Research and Development* 1, 2 (1957), 130–146.
- [23] Orestis Polychroniou, Arun Raghavan, and Kenneth A Ross. 2015. Rethinking SIMD vectorization for in-memory databases. In *Proceedings of the 2015 ACM SIGMOD International Conference on Management of Data*. ACM, 1493–1508.
- [24] Iraklis Psaroudakis, Tobias Scheuer, Norman May, Abdelkader Sellami, and Anastasia Ailamaki. 2015. Scaling up concurrent main-memory column-store scans: towards adaptive NUMA-aware data and task placement. *Proceedings of the VLDB Endowment* 8, 12 (2015), 1442–1453.
- [25] S. Ramos and T. Hoefler. 2017. Capability Models for Manycore Memory Systems: A Case-Study with Xeon Phi KNL. In *IPDPS*. 297–306. <https://doi.org/10.1109/IPDPS.2017.30>
- [26] Stefan Richter, Victor Alvarez, and Jens Dittrich. 2015. A Seven-dimensional Analysis of Hashing Methods and Its Implications on Query Processing. *Proc. VLDB Endow.* (2015).
- [27] Stefan Schuh, Xiao Chen, and Jens Dittrich. 2016. An experimental comparison of thirteen relational equi-joins in main memory. In *Proceedings of the 2016 International Conference on Management of Data*. ACM, 1961–1976.
- [28] Anil Shetty, Josephine Suganthi, and Prakash Khemani. 2014. Systems and methods for distributed hash table in a multi-core system.
- [29] Jeffrey Scott Vitter. 1983. Analysis of the search performance of coalesced hashing. *Journal of the ACM (JACM)* 30, 2 (1983), 231–258.